

This brochure supplement provides information about Investment Adviser Representative, Matthew R. Schanz (CRD No. 6382829), that supplements the firm brochure of The Prosperity Consulting Group, LLC d/b/a Prosperity - An EisnerAmper Company (IARD No. 133777) ("Prosperity" or the "Firm"). You should have received a copy of that Brochure. Please contact Donna C. Gestl (contact information below), if you did not receive the Prosperity Brochure or if you have any questions about the contents of this supplement.

Additional information about Investment Adviser Representative, Matthew R. Schanz (CRD No. 6382829) can be found on the Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov. That website can be searched by using the investment adviser representative's CRD number (shown above).

BROCHURE SUPPLEMENT

for

Matthew Robert Schanz

1500 Sunday Drive, Suite 300
Raleigh, NC 27607



PROSPERITY

An EisnerAmper Company

Prosperity - An EisnerAmper Company

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August 6, 2024

EDUCATIONAL BACKGROUND & BUSINESS EXPERIENCE (Item 2)

Prosperity requirements for Investment Adviser Representative Employment

Prosperity requires that employees who provide discretionary security selection on behalf of the Firm have at least, a 4-year college degree, 2 years relevant work experience in the securities industry and have taken and passed the appropriate state advisory exam. Investment Adviser Representatives must have work experience that demonstrates their aptitude for financial planning and investment management. Investment Adviser Representatives should have further coursework demonstrating knowledge of financial planning and tax planning. Examples of acceptable coursework include: an MBA, a CFP®, a CFA®, a ChFC, JD, or CPA. If an Investment Adviser Representative does not meet the above requirements, he or she will be required to work jointly with a senior advisor until such requirements are met.

Investment Adviser Representative's Information
Matthew R. Schanz, CPA, CFP®,
Year of Birth: 1986

Educational Background

Bachelor of Science, Business Administration, University of North Carolina Wilmington, Wilmington, NC, 2008
Master of Accounting, Appalachian State University, Boone, NC, 2010

Professional Designation(s)

Certified Public Accountant, CPA

CPAs are licensed and regulated by their state boards of accountancy. While state laws and regulations vary, the education, experience and testing requirements for licensure as a CPA generally include minimum college education (120 credit hours with at least a baccalaureate degree and a concentration in accounting), minimum experience levels (most states require at least one year of experience providing services that involve the use of accounting, attest, compilation, management advisory, financial advisory, tax or consulting skills, all of which must be achieved under the supervision of or verification by a CPA), and successful passage of the Uniform CPA Examination. In order to maintain a CPA license, states generally require the completion of 40 hours of continuing professional education (CPE) each year (or 80 hours over a two year period or 120 hours over a three year period). Additionally, all American Institute of Certified Public Accountants (AICPA) members are required to follow a rigorous *Code of Professional Conduct* which requires that they act with integrity, objectivity, due care, competence, fully disclose any conflicts of interest (and obtain client consent if a conflict exists), maintain client confidentiality, disclose to the client any commission or referral fees, and serve the public interest when providing financial services. The vast majority of state boards of accountancy have adopted the AICPA's *Code of Professional Conduct* within their state accountancy laws or have created their own.

In addition to the *Code of Professional Conduct*, AICPA members who provide personal financial planning services are required to follow the *Statement on Standards in Personal Financial Planning Services* (SSPFPS).

Certified Financial Planner™, CFP®

I am certified for financial planning services in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board"). Therefore, I may refer to myself as a CERTIFIED FINANCIAL PLANNER™ professional or a CFP® professional, and I may use these and CFP Board's other certification marks (the "CFP Board Certification Marks"). The CFP® certification is voluntary. No federal or state law or regulation requires financial planners to hold the CFP® certification. You may find more information about the CFP® certification at www.CFP.net.

CFP® professionals have met CFP Board's standards for education, examination, experience, and ethics. To become a CFP® professional, an individual must fulfill the following requirements:

Education – Earn a bachelor's degree or higher from an accredited college or university and complete CFP Board-

approved coursework at a college or university through a CFP Board Registered Program. The coursework covers the financial planning subject areas CFP Board has determined are necessary for the competent and professional delivery of financial planning services, as well as a comprehensive financial plan development capstone course. A candidate may satisfy some of the coursework requirement through other qualifying credentials. CFP Board implemented the bachelor's degree or higher requirement in 2007 and the financial planning development capstone course requirement in March 2012. Therefore, a CFP® professional who first became certified before those dates may not have earned a bachelor's or higher degree or completed a financial planning development capstone course;

Examination – Pass the comprehensive CFP® Certification Examination. The examination is designed to assess an individual's ability to integrate and apply a broad base of financial planning knowledge in the context of real-life financial planning situations;

Experience – Complete 6,000 hours of professional experience related to the personal financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements; and

Ethics – Satisfy the Fitness Standards for Candidates for CFP® Certification and Former CFP® Professionals Seeking Reinstatement and agree to be bound by CFP Board's Code of Ethics and Standards of Conduct ("Code and Standards"), which sets forth the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements to remain certified and maintain the right to continue to use the CFP Board Certification Marks:

Ethics – Commit to complying with CFP Board's Code and Standards. This includes a commitment to CFP Board, as part of the certification, to act as a fiduciary, and therefore, act in the best interests of the client, at all times when providing financial advice and financial planning. CFP Board may sanction a CFP® professional who does not abide by this commitment, but CFP Board does not guarantee a CFP® professional's services. A client who seeks a similar commitment should obtain a written engagement that includes a fiduciary obligation to the client.

Continuing Education – Complete 30 hours of continuing education every two years to maintain competence, demonstrate specified levels of knowledge, skills, and abilities, and keep up with developments in financial planning. Two of the hours must address the Code and Standards.

Business Experience

<i>Registered Representative</i> DAI Securities, LLC, Atlanta, GA	<i>08/2024 to Present</i>
<i>Investment Adviser Representative</i> Prosperity - An EisnerAmper Company, Raleigh, NC	<i>08/2024 to Present</i>
<i>Registered Representative</i> Avantax Investment Services, Inc., Raleigh, NC	<i>10/2019 to 08/2024</i>
<i>Investment Adviser Representative</i> Avantax Advisory Services, Raleigh, NC	<i>10/2019 to 08/2024</i>
<i>Insurance Agent</i> Avantax Insurance Services, Raleigh, NC	<i>10/2017 to 08/2024</i>
<i>Registered Representative</i> HPG Wealthcare Advisors, LLC	<i>10/2017 to 08/2024</i>
<i>Registered Representative</i> 1st Global Capital Corp., Raleigh, NC	<i>10/2017 to 10/2019</i>
<i>Investment Adviser Representative</i> 1st Global Advisors, Inc., Raleigh, NC	<i>10/2017 to 10/2019</i>
<i>Registered Representative/Investment Adviser Representative</i> The Strategic Financial Alliance, Inc.,	<i>08/2014 to 10/2017</i>

DISCIPLINARY INFORMATION (Item 3)

Criminal or Civil Actions

None.

Administrative Actions or Proceedings

None.

Self-Regulatory Organization (SRO) Proceedings

None.

Professional Standards Violations

None.

OTHER BUSINESS ACTIVITIES (Item 4)

Investment Related

Matthew R. Schanz is also a licensed insurance agent who transacts insurance product sales through various insurance vendors. Mr. Schanz will earn separate, yet customary compensation for insurance product sales. Mr. Schanz may spend up to two hours per week, on average, selling insurance products.

In his dual roles as investment advisor representative, insurance agent and securities salesperson (as referenced in Items 5 and 10 of our Brochure), Mr. Schanz is faced with conflicts of interest whereby the receipt of commissions for selling insurance or securities products gives him an incentive to recommend insurance or investment products based on compensation received, rather than the client's needs. We address this conflict of interest by, among other things, requiring all representatives who are licensed to offer insurance products to our clients to assure that the recommendation to purchase insurance is in the client's best interest. These products may be available through other channels and as a client you are not obligated to purchase products recommended by our representatives. *(Please see Item 10, Financial Industry Affiliations of our Brochure for additional details)*

Non-Investment Related

None.

ADDITIONAL COMPENSATION (Item 5)

Mr. Schanz earns additional compensation as a result of his dual financial industry activities and affiliations. *(Please see Item 10, Financial Industry Affiliations of our Brochure for additional details)*

SUPERVISION (Item 6)

Donna C. Gestl, another investment adviser representative of the Firm, supervises Mr. Schanz. The Firm administers supervision through application of its written supervisory policies and procedures. If you have questions regarding the supervisory procedures of the Firm, you may contact Donna C. Gestl, Chief Compliance Officer by phone at 410-363-7211 or by email to donna.gestl@prosperityea.com.