

This brochure supplement provides information about Investment Adviser Representative, Lawrence Seigelstein (CRD No. 1987449) that supplements the firm brochure of The Prosperity Consulting Group, LLC d/b/a Prosperity - An EisnerAmper Company (IARD No. 133777) ("Prosperity" or the "Firm"). You should have received a copy of that Brochure. Please contact Donna C. Gestl (contact information below), if you did not receive the Prosperity Brochure or if you have any questions about the contents of this supplement.

Additional information about Investment Adviser Representative, Lawrence Seigelstein, CRD No. 1987449 can be found on the Investment Adviser Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). That website can be searched by using the investment adviser representative's CRD number (shown above).

---

**BROCHURE SUPPLEMENT**  
*for*  
**Lawrence Seigelstein, AIF<sup>®</sup>, NISSA<sup>®</sup>**  
111 Wood Avenue South, Suite 110  
Iselin, New Jersey 08830



**Prosperity - An EisnerAmper Company**  
10065 Red Run Boulevard, Suite 200  
Owings Mills, Maryland 21117  
Phone: 410-363-7211  
Email: [larry.seigelstein@prosperityea.com](mailto:larry.seigelstein@prosperityea.com)  
Website: [www.prosperityea.com](http://www.prosperityea.com)

**Firm Supervisory Contact:**  
Donna C. Gestl, Chief Compliance Officer  
Phone: 410-363-7211  
Email: [donna.gestl@prosperityea.com](mailto:donna.gestl@prosperityea.com)

**November 26, 2024**

## **BROCHURE SUPPLEMENT for Lawrence Seigelstein, AIF®, NSSA®, CRD No. 1987449**

### **EDUCATIONAL BACKGROUND & BUSINESS EXPERIENCE (Item 2)**

#### Prosperity requirements for Investment Adviser Representative Employment

Prosperity requires that employees who provide discretionary security selection on behalf of the firm have at least, a 4-year college degree, 2 years relevant work experience in the securities industry and have taken and passed the appropriate state advisory exam. Investment Adviser Representatives must have work experience that demonstrates their aptitude for financial planning and investment management. Investment Adviser Representatives should have further coursework demonstrating knowledge of financial planning and tax planning. Examples of acceptable coursework include: an MBA, a CFP®, a CFA®, a ChFC, JD, or CPA. If an Investment Adviser Representative does not meet the above requirements, he or she will be required to work jointly with a senior advisor until such requirements are met.

#### Investment Adviser Representative's Information

**Lawrence Seigelstein. AIF®, NSSA®**

**Year of Birth: 1965**

#### **Educational Background**

Bachelor of Arts, Economics, State University of New York, Albany, New York

#### **Professional Designation(s)**

##### **Accredited Investment Fiduciary®, AIF®**

The AIF® designation certifies that the recipient has specialized knowledge of fiduciary standards of care and their application to the investment management process. To receive the AIF Designation, the individual must meet prerequisite criteria based on a combination of education, relevant industry experience, and/or ongoing professional development, complete a training program, successfully pass a comprehensive, closed-book final examination under the supervision of a proctor and agree to abide by the Code of Ethics and Conduct Standards. In order to maintain the AIF Designation, the individual must annually attest to the Code of Ethics and Conduct Standards, and accrue and report a minimum of six hours of continuing education. The Designation is administered by the Center for Fiduciary Studies, the standards-setting body of Fi360.

##### **National Social Security Advisor, NSSA®**

The National Social Security Advisor (NSSA®) Certificate denotes advanced education, knowledge and training in the Social Security program. It is issued by the National Social Security Association. Prerequisites include a job function that involves advising clients about Social Security benefits choices, an NSSA administered course (approximately 8 hours), and passing an online certificate exam. NSSA® renewal is every two years. Eight hours of continuing education is required to renew.

#### **Business Experience**

*Senior Wealth Advisor*

Prosperity – An EisnerAmper Company, Iselin, NJ

*02/2024 to Present*

*Registered Representative*

DAI Securities, LLC, Atlanta, GA

*05/2023 to Present*

*Investment Adviser Representative*

EisnerAmper Wealth Management Corporate Benefits, LLC, Iselin, NJ

*09/2008 to 02/2024*

### **DISCIPLINARY INFORMATION (Item 3)**

---

Criminal or Civil Actions  
None.

Administrative Actions or Proceedings  
None.

Self-Regulatory Organization (SRO) Proceedings  
None.

Professional Standards Violations  
None.

### **OTHER BUSINESS ACTIVITIES (Item 4)**

---

#### Investment Related

Lawrence Seigelstein is a registered representative of DAI Securities, LLC (CRD No. 36673) ("DAIS"), an SEC-registered broker-dealer (member of FINRA and SIPC). Mr. Seigelstein is also a licensed insurance agent who transacts insurance product sales through various insurance vendors. Mr. Seigelstein will earn separate, yet customary compensation for securities and insurance product sales.

In his dual roles as investment advisor representative, securities salesperson and insurance agent (as referenced in Items 5 and 10 of our Brochure), Mr. Seigelstein is faced with conflicts of interest whereby, the receipt of commissions for selling insurance or securities products gives him an incentive to recommend insurance or investment products based on the compensation received, rather than the client's needs. We address this conflict of interest by, among other things, conducting periodic suitability reviews on our clients' portfolios and requiring all representatives who are licensed to offer insurance products to our clients to assure that the recommendation to purchase insurance is in the client's best interest. These products may be available through other channels and as a client you are not obligated to purchase products recommended by our representatives. *(Please see Item 10, Financial Industry Affiliations of our Brochure for additional details)*

Non-Investment Related  
None

### **ADDITIONAL COMPENSATION (Item 5)**

---

Lawrence Seigelstein earns additional compensation as a result of his dual financial industry activities and affiliations. Mr. Seigelstein also receives additional compensation in the form of production incentives for reaching certain predetermined production thresholds for managed asset revenue and brokerage commission revenue. This compensation structure creates a clear and direct incentive to recommend advisory management accounts and brokerage accounts based on the receipt of these payments. Mr. Seigelstein also has an incentive to maximize his income by increasing revenue in one category or another, depending on the proximity of his revenue level to the next level that triggers a payout. We address these conflicts of interest by disclosing them here and ensuring that any recommendations of advisory management accounts or brokerage accounts are in the client's best interest. These services are available through other channels, and you are not obligated to utilize the services or purchase products recommended

by Mr. Seigelstein. *(Please see Item 10, Financial Industry Affiliations, and Item 14, Client Referrals and Other Compensation, of our Brochure for additional details)*

## **SUPERVISION (Item 6)**

---

Donna C. Gestl, another investment adviser representative of the Firm, supervises Mr. Seigelstein. The Firm administers supervision through application of its written supervisory policies and procedures. If you have questions regarding the supervisory procedures of the firm, you may contact Donna C. Gestl, Chief Compliance Officer by phone at 410-363-7211 or by email to [donna.gestl@prosperityea.com](mailto:donna.gestl@prosperityea.com).